

### Adaptive Mode Selection and Power Allocation for D2D Underlay Cellular Networks with Dynamic Fading Channel

Mengwei Sun, University of Edinburgh Yuan Ding, Member, IEEE, Heriot-Watt University George Goussetis, Senior Member, IEEE, Heriot-Watt University 20/08/2020



# Outline

- Introduction
- System Model
- Problem Formulation and Analysis
- Procedure of Adaptive Power Allocation Scheduling
- Numerical Results and Discussions



### D2D Communications <sup>[1][2]</sup>



• Conventional communication & D2D communication



• Overlay inband, underlay inband, and outband D2D for cellular scenarios.





Two conventional modes

Two conventional modes for underlay D2D communications: D2D underlay mode and regular cellular mode





Two conventional modes





Hybrid mode

□ Hybrid mode

- DT multicasts its messages to DR via two links. The allocated transmitted power for these two links is set to be  $\xi P_D$  and  $(1 \xi)P_D$ , respectively.
- The D2D direct link shares the same time-frequency resources with the cellular users.
- The relay link participates in the cellular uplink session with the CUE, spectrum access rate is set to be  $\beta$ .





Two conventional modes for underlay D2D communications: regular cellular mode and D2D underlay mode

#### □ Hybrid mode

- DT multicasts its messages to DR via two links
- The D2D direct link shares the same time-frequency resources with the cellular users.
- The relay link participates in the cellular uplink session with the CUE.
- □ Challenges/oppotunity: How to allocate the transmitted power via direct & relay links, considering
- Dynamic channel state
- Different spectrum access rate



- D2D applications: mobile devices or some specific environments with relative movements (e.g., indoor offices)
- Distribution of the channel amplitude: Rician fading
- Evolution: first-order finite-state Markov chain (FSMC)





- The optimization objective: Maximize the achievable average throughput
- Adjustable system parameter: Mode selection and power allocation
- Limitation: Guaranteeing the minimum QoS of the CUE

 $\max_{0\leq\xi\leq 1}\ U(\xi,\beta,h_D),$ 

s.t.  $SINR_C \geq \lambda_C$ .



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 $\max_{0\leq\xi\leq 1} U(\xi,\beta,h_D),$ 

s.t.  $SINR_C \geq \lambda_C$ .

• With the consideration of time-variant channel

$$\begin{split} U(\xi,\beta,h_D) &= \frac{1}{N} \sum_{n=0}^{N-1} u(\xi(n),\beta,h_D(n)), \\ SNIR_C(n) &= 10 \log_{10} \left( \frac{P_C |h_C|^2}{\xi(n) P_D |g_{DC}|^2 + \sigma^2} \right). \end{split}$$



## Analysis

$$\begin{split} u(\xi(n),\beta,h_D(n)) &= u_{DD}(n) + u_{DBD}(n) \\ &= W \log_2 \left( 1 + \frac{\xi(n)P_D |h_D(n)|^2}{P_C |g_{CD}|^2 + \sigma^2} \right) \\ &+ \beta W \log_2 \left( 1 + \frac{(1 - \xi(n))P_D |h_{DBD}|^2}{\sigma^2} \right). \end{split}$$



First order partial derivative

Second order partial derivative

$$\begin{split} F(\xi(n),\beta,h_D(n)) &= \frac{\partial u(\xi(n),\beta,h_D(n))}{\partial \xi(n)} \\ &= W \frac{1}{\ln 2 \left(1 + \frac{\xi(n)P_D |h_D(n)|^2}{P_C |g_{CD}|^2 + \sigma^2}\right)} \frac{P_D |h_D(n)|^2}{P_C |g_{CD}|^2 + \sigma^2} \\ &-\beta W \frac{1}{\ln 2 \left(1 + \frac{(1 - \xi(n))P_D |h_{DBD}|^2}{\sigma^2}\right)} \frac{P_D |h_{DBD}|^2}{\sigma^2} \\ &= \frac{W P_D}{\ln 2} \left[\frac{|h_D(n)|^2}{P_C |g_{CD}|^2 + \sigma^2 + \xi(n)P_D |h_D(n)|^2}}{\beta |h_{DBD}|^2}\right]. \end{split}$$

$$\begin{split} &\frac{\partial^2 u(\xi(n),\beta,h_D(n))}{\partial \xi(n)^2} = \frac{\partial F(\xi(n),\beta,h_D(n))}{\partial \xi(n)}, \\ &= -\frac{W P_D^2}{\ln 2} \left\{ \frac{|h_D(n)|^4}{P_C |g_{CD}|^2 + \sigma^2 + [\xi(n)P_D |h_D(n)|^2]^2} \\ &+ \frac{\beta |h_{DBD}|^4}{[\sigma^2 + (1 - \xi(n))P_D |h_{DBD}|^2]^2} \right\} < 0. \end{split}$$

Condition I	Condition II	Results
$\beta P_D  h_{DBD} ^2 > \sigma^2$	$ h_D ^2 > \frac{\beta (P_C  g_{CD} ^2 + \sigma^2)  h_{DBD} ^2}{(\sigma^2 + P_D  h_{DBD} ^2)}$ , i.e., Condition {1, 1}	$F(\xi=0)>0, F(\xi=1)<0$
	$ h_D ^2 < \frac{\beta (P_C  g_{CD} ^2 + \sigma^2)  h_{DBD} ^2}{\sigma^2 + P_D  h_{DBD} ^2}$ , i.e., Condition {1, 2}	$F(\xi=0) < 0, F(\xi=1) < 0$
$\beta P_D  h_{DBD} ^2 < \sigma^2$	$\frac{\beta \left(P_C  g_{CD} ^2 + \sigma^2\right)  h_{DBD} ^2}{\sigma^2 + P_D  h_{DBD} ^2} <  h_D ^2 < \frac{\beta \left(P_C  g_{CD} ^2 + \sigma^2\right)  h_{DBD} ^2}{\sigma^2 - \beta P_D  h_{DBD} ^2}, \text{ i.e., Condition } \{2, 1\}$	$F(\xi=0)>0, F(\xi=1)<0$
	$ h_D ^2 > \frac{\beta (P_C  g_{CD} ^2 + \sigma^2)  h_{DBD} ^2}{\sigma^2 - \beta P_D  h_{DBD} ^2}$ , i.e., Condition {2, 2}	$F(\xi=0)>0, F(\xi=1)>0$
	$ h_D ^2 < \frac{\beta (P_C  g_{CD} ^2 + \sigma^2)  h_{DBD} ^2}{\sigma^2 + P_D  h_{DBD} ^2}$ , i.e., Condition {2, 3}	$F(\xi=0) < 0, F(\xi=1) < 0$

TABLE I: The first partial derivative of  $u(\xi, \beta, h_D)$ 



Partial derivative of the underlay throughput under different conditions: (a)  $\beta P_D \|h_{DBD}\|^2 > \sigma^2$  (b)  $\beta P_D \|h_{DBD}\|^2 < \sigma^2$ 

## HERIOT WATT 4. Procedure of Adaptive Power Allocation Scheduling



Transmit signal via D2D direct link and CBS relay link

- The channel estimation: maximum *a posteriori* probability (MAP) criterion
- Feed back to DT via feedback link
- Predictive channel gain: Markov characteristic
- Choose the optimal mode and power allocation under different Conditions {·, ·}





- Compared method: static D2D underlay mode and regular cellular mode.
- In high SNR region, the increasing performance of traditional underlay D2D mode saturates.
- When  $\beta$  increases, the performance gaps between the adaptive scheduling and D2D direct scheduling expand.



### **Any questions?**

Email: msun@ed.ac.uk